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PATENT

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By: Hanna Hacham 8-5-02
Hanna Hacham

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of SHANAZ H. DAIRKEE, et al.

Serial No.: 09/816,460

Examiner: Not Yet Assigned

Confirmation No.: 6434

Art Unit: 1643

Filed: March 23, 2001

For: PROGNOSTIC METHODS FOR BREAST CANCER

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Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCES

In response to the Notice to Comply with Requirements for Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed July 24, 2002, transmitted herewith are:

- ☒ [X] a paper copy of the "Sequence Listing" (pages 1-10);
- ☒ [X] a copy of the "Sequence Listing" in computer readable form on a:
 - ☒ [X] 3 1/2" floppy disk;
 - ☐ [] 5 1/4" floppy disk;
- ☒ [X] a Second Supplementary Preliminary Amendment directing the entry of the Substitute Sequence Listing;
- ☒ [X] a copy of Notice re Sequence Listing;

The information recorded in computer readable form is identical to the written sequence listing.

This submission includes no new matter.

[X] No fee is required.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

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Respectfully submitted,
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/816,460 | 03/23/2001 | Shanaz H. Dairkee | CPMC-010/00US |

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CONFIRMATION NO. 6434

FORMALITIES LETTER

OC00000008507971

Date Mailed: 07/24/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- o A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*

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